



**SUNDARAM MUTUAL**

# Key Information Memorandum

## Sundaram Floating Rate Fund

Offer for Units of Rs. 10 Per Unit for cash during the  
Initial Offer Period and at NAV based prices upon re-opening

Initial Offer Opens on: 20-12-2004

Initial Offer Closes on: 24-12-2004

This Key Information Memorandum (KIM) sets forth the information, about the scheme which a prospective investor ought to know before investing. This memorandum should be retained for future reference. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations, associate transactions etc. investors should, before investment, refer to the Offer Document available free of cost at any of the Investor Service Centres or distributors or from the website [www.sundarammutual.com](http://www.sundarammutual.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM. Investors may also like to ascertain about any further changes after the date of this document from the mutual fund/its investor service center/distributors or brokers. The date of this Key Information Memorandum is 24-11-2004.

<b>Investment Objective</b>	<p>The primary investment objective of the Scheme will be to generate reasonable income from a portfolio comprising floating rate debt instruments, fixed rate debt instruments swapped for floating rate return, and also fixed rate instruments and money market instruments. This shall be done in concurrence with the objective of keeping the interest rate risk of the fund low.</p> <p>However there will be no assurance that the Investment objective of the scheme will be achieved. The scheme does not guarantee / indicate any returns.</p>			
<b>Asset Allocation Pattern of the scheme</b>				
<b>Short Term Plan &amp; Long Term Plan</b>	<b>Type of Security</b>	<b>Minimum %</b>	<b>Maximum %</b>	<b>Risk Profile</b>
	Floating rate debt instruments*, Money market instruments including fixed rate instruments swapped for floating rate returns.	65%	100%	Low to medium
	Fixed rate debt and Money market instruments including floating rate instruments swapped for fixed rate returns.	0%	35%	Low to medium
	<i>*Floating rate debt instruments include fixed rate instruments swapped for floating rate returns</i>			
<p>The AMC may from time to time, pending investment in terms of investment objective of the scheme, for a short term period on defensive consideration invest the funds available in short term deposits with scheduled commercial banks and money market instruments, the primary motive being to protect the Net Asset Value of the Scheme and protect the unitholders interest so also to earn reasonable returns on liquid funds maintained for redemption / repurchase of units.</p> <p>Changes in Investment pattern:- Subject to the SEBI Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially within the maximum and minimum allocation limits, depending upon the perception of the Investment Manager, the intention being at all times to seek to protect the interests of the Unitholders. Such changes in the investment pattern will be for short term and defensive considerations. Provided further and subject to the above, any change in the asset allocation affecting the investment profile of the Scheme shall be effected only in accordance with the provisions of sub regulation (15A) of Regulation 18 of the SEBI Regulation.</p>				
<b>Risk Profile of the Scheme</b>	Mutual Fund investments are subject to market risks. Please read the offer document carefully for details on risk factors before investment.			
<b>Plans and Options</b> <b>Short Term Plan</b>	<p><b>Regular</b></p> <p>1.Growth 2.Dividend</p> <p>a. Dividend Reinvestment } Monthly b. Dividend Payout }</p> <p><b>Institutional</b></p> <p>1.Growth 2.Dividend</p> <p>a. Dividend Reinvestment — Daily, Weekly, Monthly b. Dividend Payout — Monthly</p>			
	<b>Long Term Plan</b>	<p><b>Regular</b></p> <p>1.Growth 2.Dividend</p> <p>a. Dividend Reinvestment } Monthly, Quarterly, Half- yearly &amp; Annual b. Dividend payout }</p> <p><b>Institutional</b></p> <p>1.Growth 2.Dividend</p> <p>a. Dividend Reinvestment } Monthly, Quarterly b.Dividend payout }</p>		

<b>Applicable NAV (after the scheme opens for repurchase and sale)</b>				
<b>Short Term Plan</b>	<b>Timing</b>	<b>Applicable NAV</b>		
	<b>Purchases and Switch in</b>			
	Receipt of valid applications along with local cheque or DD payable at par up to 1:00 pm on a working day.	Closing NAV of the day immediately previous to the day on which funds are available for utilisation by the fund.		
	Receipt of valid applications along with local cheque or DD payable at par after 1:00 pm on a working day and the funds are available for utilisation by the scheme on the same day.	Closing NAV of the day immediately previous to the next working day shall be applied.		
	<b>Redemptions and Switch out</b>			
	Receipt of valid application up to 10:00 am	Previous day's closing NAV shall be applicable.		
	Receipt of valid applications after 10:00 am.	Closing NAV of the day immediately previous to the next working day.		
	<b>Purchases and Switch in</b>			
	Receipt of valid applications along with local cheque or DD payable at par at the place where the application is received up to 3:00 pm on a Working Day	Closing NAV of the day on which the application is received shall be applicable.		
<b>Long Term Plan</b>	Receipt of valid applications along with local cheque or DD payable at par at the place where the application is received after 3:00 pm on a Working Day	Closing NAV of the next Working Day shall be applicable.		
	Receipt of valid applications with outstation cheque/DD not payable at par at the place where the application is received	Closing NAV of the day on which the cheque/DD is credited shall be applicable.		
	<b>Redemptions and Switch out</b>			
	Receipt of valid applications up to 3 p.m.	Same day's closing NAV shall be applicable		
	Receipt of valid applications after 3 p.m.	The closing NAV of the next business day shall be applicable		
	<b>Minimum Application Amount/ Number of Units</b>			
	<b>Short Term Plan &amp; Long Term Plan</b>	<b>Purchase</b>	<b>Additional Purchase</b>	<b>Repurchase</b>
	<b>Regular Plan</b>	Rs. 5,000/-	Rs. 1, 000/-	Rs. 1, 000/-
	<b>Institutional Plan</b>	Rs. 1.00 cr	Rs. 1,00,000/-	Rs. 10, 000/-
<b>Despatch of Repurchase (Redemption) Request</b>	Within 10 working days of the receipt of the redemption request at the authorised centre of the Sundaram Mutual Fund.			
<b>Benchmark Index</b>				
<b>Short Term Plan</b>	Crisil Liquid Fund Index			
<b>Long Term Plan</b>	Crisil Composite Bond Fund Index			
<b>Dividend Policy</b>	<p>It is proposed to declare dividend subject to availability of distributable profits, as computed in accordance with SEBI (Mutual Funds) Regulations, 1996.</p> <p>Dividends, if declared, will be paid (subject to deduction of tax at source, if any) to those unitholders whose name appears in the register of unitholders on the notified record date.</p> <p>There is no assurance or guarantee to unitholder as to the rate of dividend distribution nor that dividends will be paid regularly. On payment of dividends, the NAV will stand reduced by the amount of dividend and dividend tax (if applicable) paid.</p>			

<b>Name of the Fund Manager</b>	Mr Dheeraj Singh		
<b>Name of the Trustee Company</b>	Sundaram Finance Trustee Company Limited		
<b>Performance of the scheme:</b>  [N.B. For a new scheme and a scheme which is in existence for less than 1 year, the compounded annualised returns of similar schemes launched by the MF in the past shall be given as per the table.]  or [In case of a scheme in existence for 1 year and above, the return figures shall be given for that scheme only, as per the table and also by means of a bar diagram.]	<b>Compounded Annualised Return</b>	<b>Scheme Returns %</b>	<b>Benchmark Returns %</b>
	Returns for the last 1 year	—	—
	Returns for the last 3 years	—	—
	Returns for the last 5 years	—	—
	Returns since inception		
	Year-wise returns for the last 5 financial years		
	No similar scheme has been launched.		
<b>Expenses of the Scheme</b>			
<b>Short Term Plan</b>	<b>Initial Offer Period</b>	20-12-2004 to 24-12-2004	
	<b>Load</b>	<b>Entry Load:</b> Nil <b>Exit Load:</b> Nil	
	<b>Initial Issue expenses</b>	The entire initial issue expenses will be borne by the AMC.	
	<b>Recurring expenses</b>	As per the regulations, the maximum recurring expenses including investment management and advisory fess, that can be charged to the scheme shall be subject to a percentage limit of weekly net assets as mentioned in the table below: First Rs. 100 crores: 2.25% Next Rs. 300 crores: 2.00% Next Rs. 300 crores: 1.75% On the balance assets: 1.50% Any expenses incurred over and above the prescribed limit shall be borne by the AMC Actual expenses for the previous financial year: Nil (2003 – 04)	
<b>Long Term Plan</b>	<b>Initial Offer Period</b>	20-12-2004 to 24-12-2004	
	<b>Load</b>	<b>Entry Load:</b> Nil <b>Exit Load:</b> If investment is less than Rs. 10.00 lacs 0.50% if redeemed within 180 days from the date of investment.	
	<b>Initial Issue expenses</b>	The entire initial issue expenses will be borne by the AMC.	
	<b>Recurring expenses</b>	As per the regulations, the maximum recurring expenses including investment management and advisory fess, that can be charged to the scheme shall be subject to a percentage limit of weekly net assets as mentioned in the table below: First Rs. 100 crores: 2.25% Next Rs. 300 crores: 2.00% Next Rs. 300 crores: 1.75% On the balance assets: 1.50% Any expenses incurred over and above the prescribed limit shall be borne by the AMC Actual expenses for the previous financial year: Nil (2003 – 04)	

**Tax treatment for the Investors (Unitholders)**

Certain tax benefits are described below that are believed to be available, under present taxation laws, to the unit-holders of Mutual Funds including Sundaram Mutual Fund. The information set forth below is included for general information purposes only. In view of the individual nature of tax consequences, each investor is advised to consult his/her own tax advisor with respect to the specific tax consequences to him/her of participation in this Scheme.

**TO UNITHOLDERS:**

1. Income received by Unitholders in respect of the units of the Mutual Fund would be tax free in the hands of the Unitholders in accordance with the provisions of section 10(35) of the Act.
2. Under Section 2(29A) of the Act, read with section 2(42A) of the Act, a unit of a Mutual Fund is treated as a long term capital asset if the same is held for more than 12 months. If the unit is held for 12 months or less the same is treated as short term capital asset.
3. Under Section 112 of the Act, capital gains chargeable on transfer of long term capital assets are subject to tax at the rate of 20%. The capital gains will be computed by deducting the following amounts from the sale consideration:
  - a) Expenditure incurred wholly and exclusively in connection with such transfer, and
  - b) Cost as inflated by the cost inflation index notified by the Central Board of Direct Taxes (CBDT).In case of an individual or Hindu Undivided Family (HUF), being a resident, where the total income as reduced by the long term capital gains is below the maximum amount not chargeable to tax (Rs.50,000), the long term capital gains shall be reduced to the extent of the shortfall of the total income as reduced by the long term capital gains from the maximum amount not chargeable to tax and only the balance long term capital gains will be subject to the flat rate of taxation.

However, the maximum tax payable on long term capital gains on units is restricted to 10% of capital gains calculated without indexation of cost. In addition to the aforesaid tax, in case of an individual, HUF or Association of Persons (AOP), where the income exceeds Rs. 8.50 lakhs, a surcharge of 10%, in case of companies a surcharge of 2.5% and in case of an artificial juridical person a surcharge of 10% of such tax liability is also payable. A 2% education cess on total income tax payable (including surcharge) is payable by all categories of taxpayers with effect from April 1, 2004.
4. The long term capital gains on transfer of units would be exempt from tax under section 54EC of the Act if the entire capital gain realized in respect of such units is invested within six months of the date of transfer, in bonds which are redeemable after three years issued on or after April 1, 2000 by National Bank of Agricultural and Rural Development, National Highways Authority of India, Rural Electrification Corporation Limited, National Housing Bank or Small Industries Development Bank of India. However, if the amount invested in bonds is less than the capital gains realized then only proportionate capital gains would be exempt from tax. If the bonds so acquired are transferred or converted into money or any loan or advance is taken on security of such bond, within three years from the date of its acquisition, the amount of capital gains arising from transfer of original asset which was not charged to tax, will be deemed to be the income by way of long term capital gains of the previous year in which bonds are transferred or otherwise converted into money. Where exemption under Section 54EC of the Act is availed by investing in such bonds, then rebate of income tax under Section 88 of the Act with reference to the cost of such investment in the bonds will not be allowed.
5. The long term capital gains on transfer of units would be exempt from tax under section 54ED of the Act if the entire capital gain realized in respect of such units is invested within six months of the date of transfer in equity shares forming part of eligible issue of capital as defined in the said section. However, if the amount invested is less than the capital gains realized, only proportionate capital gains would be exempt from tax. If the equity shares so acquired are sold or otherwise transferred within one year from the date of their acquisition, the amount of capital gains arising from transfer of original asset which was not charged to tax, will be deemed to be the income by way of long-term capital gains of the previous year in which such equity shares are sold or otherwise transferred. Where exemption under Section 54ED of the Act is availed by investing in such equity shares, then rebate of income tax under Section 88 of the Act with reference to the cost of such investment in the equity shares will not be allowed.
6. Gains other than long term capital gains (short term capital gains) arising to a unitholder will be taxed at the normal rate applicable to that unitholder as per the provisions of the Act.

	<p>7. The capital loss resulting from sale of units would be available for setting off against other capital gains made by the investor and would reduce the tax liability of the investor to that extent. However, losses on transfer of long term capital assets would be allowed to be set-off only against gains from transfer of long-term capital assets and the balance long-term capital loss shall be carried forward separately for a period of eight assessment years to be set off only against long-term capital gains.</p> <p>8. Where a person buys any units within a period of three months before the record date, sells such units within nine months after such date and the dividend income on such units being exempt from tax, the capital loss on such sale to the extent of the dividend income cannot be set off against other gains.</p> <p>9. Where a person buys units (original units) within a period of three months before the record date, receives bonus units on such original units, and then sells the original units within a period of 9 months from the record date and continues to hold the bonus units, then the loss incurred on the original units shall not be allowed to be set off against other profits but shall be deemed to be the cost of the bonus units.</p> <p>10. As per the provisions of Section 194K and 196A of the Act, no deduction of tax at source shall be made from income credited or paid by a mutual fund to a Unitholder.</p> <p>11. As per circular no. 715 dated August 8, 1995 issued by the CBDT in case of resident Unitholders, no tax is required to be deducted at source from capital gains arising at the time of repurchase or redemption of the units.</p> <p>12. Under Section 195 of the Act, the Mutual Fund is required to deduct tax at source at the rate of 20% on any long term capital gains if the payee Unitholder is a non resident. In respect to short-term capital gains, tax is required to be deducted at source at the rate of 30% if the payee Unitholder is a non-resident non-corporate and at the rate of 40% if the payee Unitholder is a foreign company.</p> <ul style="list-style-type: none"> <li>• Further, the aforesaid tax to be deducted is required to be increased by a surcharge in case of an individual, HUF or AOP, where the sum payable exceeds Rs.8.50 lakhs by 10%, in case of companies by 2.5% and in case of an artificial juridical person by 10% of such tax liability. These rates, including surcharge, are to be increased by a 2% education cess for deductions from all categories of taxpayers with effect from April 1, 2004.</li> <li>• As per circular no. 728 dated October 30, 1995 issued by the CBDT, in the case of a remittance to a country with which a Double Tax Avoidance Agreement (DTAA) is in force, the tax should be deducted at the rate provided in the Finance Act of the relevant year or at the rate provided in the DTAA, whichever is more beneficial to the assessee. In order for the Unitholder to obtain the benefit of a lower rate available under a DTAA, the Unitholder will be required to provide the Mutual Fund with a certificate obtained from his Assessing Officer stating his eligibility for the lower rate.</li> </ul> <p>13. Mutual Fund units are exempt from wealth tax.</p>		
	<p><b>TO MUTUAL FUND:</b></p> <p>1. Sundaram Mutual Fund is a Mutual Fund registered with the Securities and Exchange Board of India and its entire income is exempt from tax under section 10(23D) of the Act.</p> <p>2. Distribution tax on income distributed by the fund is payable at the rate of 13.06875% in the case of distributions to individuals and HUFs. An increased rate of 20.91% is applicable for distributions made to persons other than an individual or a HUF.</p>		
<p><b>Daily Net Asset Value (NAV) Publication</b></p>	<p>The NAV will be declared on all working days and will be published in 2 newspapers. NAV can also be viewed at <a href="http://www.sundarammutual.com">www.sundarammutual.com</a> and <a href="http://www.amfiindia.com">www.amfiindia.com</a> (You can also telephone us at 04428585607).</p>		
<p><b>For Investor Grievances please contact</b></p>	<table border="0"> <tr> <td style="vertical-align: top;"> <p><b>Name and address of Registrar</b></p> <p>Computer Age Management Services (P) Limited A &amp; B , Lakshmi Bhawan 609, Anna Salai, Chennai – 600 006</p> </td> <td style="vertical-align: top;"> <p>Mr K Sridhar, Assistant Manager - Customer Support 46, Whites Road, Royapeteh, Chennai 5600 014. Telephone Number: 28583362 / 28583367. Fax – 28583156, service@sundarammutual.com</p> </td> </tr> </table>	<p><b>Name and address of Registrar</b></p> <p>Computer Age Management Services (P) Limited A &amp; B , Lakshmi Bhawan 609, Anna Salai, Chennai – 600 006</p>	<p>Mr K Sridhar, Assistant Manager - Customer Support 46, Whites Road, Royapeteh, Chennai 5600 014. Telephone Number: 28583362 / 28583367. Fax – 28583156, service@sundarammutual.com</p>
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<p><b>Unitholders Information</b></p>	<p>Accounts statements for each transaction and annual financial results shall be provided to investors by post. Half-yearly scheme portfolios will either be mailed to the unitholders or published in the news papers as permitted under the SEBI (Mutual Funds) Regulation, 1996.</p>		

<b>Facilities Offered</b>	<p><b>Systematic Investment Plan (SIP):</b> Investors can benefit by investing a fixed amount every week, fortnight, month or quarter.</p> <p><b>Minimum investment amount:</b> Rs.250/- and any amount thereafter on a periodic basis.</p> <p><b>Entry Load:</b> For investments made under Systematic Investment Plan, no entry load will be charged but there is an exit load at applicable rate will be charged if redeemed within 12 months from the date of investment.</p>
	<p><b>Systematic Withdrawal Plan-</b> is suitable for investors who are looking for a regular income. Through this one can withdraw a fixed amount or a variable amount every month or quarter. This facility is offered in the Growth options under the Plans, in the following modes:</p> <p><b>a) Fixed amount withdrawal</b></p> <p>The Unit holder can withdraw a fixed amount every month or quarter.</p> <p><b>b) Capital Appreciation (Variable) withdrawal</b></p> <p>The Unitholder withdraws the amount by which his/her capital appreciate in the month or quarter.</p> <p><b>Minimum withdrawal amount:</b> Rs 250/- under the fixed amount withdrawal option and capital appreciation withdrawal option. However, the AMC at its sole discretion retains the right to close an account if the outstanding balance, based on the Net Assets value, falls below Rs. 1000/- due to redemption or use of SWP-E facility or otherwise.</p> <p><b>SWP processing Date:</b> Withdrawals are processed on the first Working day of every month or quarter.</p> <p><b>Applicable Exit Load:</b> No exit load on redemption under SWP.</p> <p>The capital appreciation portion will be subject to capital gains tax at applicable rates. In the event of there being no capital appreciation, no withdrawal/payment will be effected.</p>
	<p><b>Systematic Transfer Plan(STP):</b> STP is a facility wherein a Unitholder of an open ended Sundaram Mutual scheme can opt to transfer a fixed amount or capital appreciation amount at regular intervals to another open ended Sundaram Mutual scheme. The amount transferred under the STP from the Transferor Scheme to the Transferee Scheme, shall be effected by redeeming Units of Transferor Scheme at applicable NAV, without payment of any exit load, if any and subscribing to the Units of the Transferee Scheme at applicable NAV without payment of any entry load,.</p> <p><b>Minimum transfer amount:</b> Rs. 250/- (either as a fixed sum or capital appreciation portion)</p> <p><b>Transfer periodicity:</b> Week/fortnight/month or quarter.</p> <p><b>STP Processing Date:</b> First Working day of every week/fortnight/month or quarter.</p> <p><b>Applicable entry/ Exit load:</b> The amount transferred under the STP from the Transferor Scheme to the Transferee Scheme, shall be effected by redeeming Units of Transferor Scheme at applicable NAV, <b>without payment of any exit load</b>, if any and subscribing to the Units of the Transferee Scheme at applicable NAV <b>without payment of any entry load</b>, if any, as on the specified date of a month or a quarter. Please note that applicable entry load (% wise) originally waived will be levied in the transferee scheme if Units are redeemed on or before expiration of one year from the date of the transfer. The AMC reserves the right to have differential load structures for investors who opt for the STP. Rules relating to the STP may be changed from time to time by the AMC</p>
	<p><b>Dividend Sweep-in facility:</b> This facility would help the investors to transfer the dividend receivable, subject to a minimum of Rs.250, in a particular Sundaram Mutual Scheme into any other open-ended schemes of the Sundaram Mutual. This will be processed on the record date of the dividend declared under the scheme. The dividend (net of TDS, if applicable) will be swept into the Scheme opted by the investor at the applicable NAV based prices and minimum investments eligibility requirements without any entry load.</p>

## Instructions

Please read the offer document carefully before filling in the application form. Applicants are deemed to have understood the terms subject to which this offer is being made, by filling and signing this application form.

### SECTION - 1

In section 1b of this form, you may indicate a folio number under which you would like to consolidate your investments under different schemes of Sundaram Mutual Fund. Please note that Sundaram Mutual Fund can only consolidate these folios where the signature(s) appear in the same order as the signature(s) in the folio under which you are consolidating.

### SECTION - 2

All new applicants must complete section 2. Name and address must be given in full.

Applicants' PAN/GIR number must be provided if the amount invested is over Rs.50,000, otherwise the application is liable to be rejected. We advise all applicants to provide this number irrespective of the application amount.

All communication and payments will be made to the first applicant or to the Karta in case of HUF.

Applicants who have checked the mode of holding as 'Single' in section 2(b) may nominate a successor to receive units upon his/her death.

Where the mode of holding in section 2(b) has been specified as 'Joint' the first named holder shall receive all Account Statements, Redemption/refund warrants and any other correspondence sent from time to time.

In case of an application under a Power of Attorney or by a limited company or a body corporate or a registered society or a trust or a partnership, the relevant Power of Attorney or the relevant resolution or authority to make the application and the Trust Deed or the Partnership Deed as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association and/or bye-laws must be lodged along with the Application Form or at the Registrar's office in Chennai within seven days from the date of application, quoting the details of the application. Sole Proprietary firms may submit a completed nomination form to enable transmission of units in the event of death of the sole Proprietor. In case of HUF, a list of all co-parceners together with their dates of birth and specimen signatures must be lodged at the Registrar's office within seven days.

Applicants who request a Personal Identification Number (PIN) by ticking the box in section 2(g) will be sent a PIN agreement which will be mailed separately. This PIN allows you to access your account information via the internet.

### SECTION - 3

Please tick the box if you would like to receive your redemption payment under the following mode.

- Direct Credit to your bank account with select banks.
- Warrants/Drafts (The warrants/drafts will be payable only at cities where Sundaram Mutual Customer Service Centres are located).

### SECTION - 4

In order to protect the interest of the investors from fraudulent encashment of refund/redemption cheque, the current SEBI guidelines require that refund orders/redemption cheques specify the name of the investor, bank name and account number where the cheque is intended to be credited. Hence, investors are advised in their interest to provide the name of the Bank, Branch address, account type and account number for remittance of redemption amount. The Trustees/Investment Manager will not be responsible for any loss arising out of fraudulent encashment of cheques or delay/loss in transit. Investors must write the application form number/folio number on the reverse of the cheque and bank draft accompanying the application form.

### SECTION - 5

Please note that if you do not tick the investment option/type box of the relevant scheme in Section 5 then the default option of the scheme is as mentioned below

Indication not made	Default
Short Tem Plan/ Long Term Plan	Short Term Plan
Regular/ Institutional Plan	Regular
Dividend/ Growth Options	Dividend Option monthly
Dividend payout / Reinvestment	Dividend Payout
Mode of holding in the case of more than one applicant	Anyone or Survivor

Mode of Payment : The cheque/bank draft should be drawn in favour of the scheme you are investing in and crossed 'a/c payee only'. For example, if you are investing in Sundaram Floating Rate Fund-Long term plan the cheque or DD must be made payable to 'Sundaram Floating Rate Fund-Long term plan'. DD charges will be absorbed by the fund for investments made in the regular plan according to IBA guidelines

### SECTION - 6

Please fill in this section should you wish to nominate someone.

### SECTION - 7

Signature should be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF the Karta should sign on behalf of the HUF.

## SUNDARAM MUTUAL - BRANCH ADDRESSES

### Ahmedabad

104, Arth Complex,  
Behind A.K. Patel House,  
Mithakhali Six Road,  
Navarangpura, Ahmedabad - 380 009.  
Ph: 079-55613337/26440442

### Bangalore

1st Floor, 'Maangalaya Punarbhav' 132  
Bridge Road, Bangalore 560 025.  
Ph: 080 - 22076706/22076707

### Chandigarh

C/O-Selz Communication, SCO-333-334,  
1st Floor, Sector -35B, Chandigarh-160017.  
Ph: 0172-5009166

### Chennai

Sundaram Towers,  
46 Whites Road, Second Floor  
Royapettah, Chennai 600 014.  
Ph: 044 - 28583362/28583367

### Cochin

The Monarch, First Floor,  
P.T. Usha Road, Ernakulam Cochin 682 011.  
Ph: 0484 - 2371988/2374834/2383573

### Coimbatore

41A, 1st Floor, West Lokamanya Street,  
R.S.Puram, Coimbatore 641 002.  
Ph: 0422 - 2542816/5360058

### Delhi

#605 Ashoka Estate, 6th Floor, 24  
Barakhamba Road, New Delhi 110 001.  
Ph: 011 - 23730246/23353276

### Hyderabad

2nd Floor, Flat No.03, Majestic Heights  
(V.V.Boulevard),  
Raj Bhavan Road (Food World Bldg.),  
Adj. Secunderabad TVS Motors, Somajiguda,  
Hyderabad 500 082.  
Ph: 040 - 23393669/23390815

### Jaipur

206, 2nd Floor, Siddhi Vinayak Apartments,  
Ashok Marg, Jaipur -302011.  
Ph:0141-5118364

### Kanpur

2nd Floor, Office No. -206, Krishna Tower,  
15/63, Civil Lines, Kanpur (U.P) - 208001.  
Mobile: 9839811530

### Kolkata

'Constantia' 4th Floor,  
11 U.N. Brahmachari Sarani  
Kolkata 700 017.  
Ph: 033 - 22816707/22835504

### Madurai

No: 16 & 17, A R Plaza, North Veli Street,  
Madurai - 625 001.  
Ph: 0452 - 5377478/5376801

### Mumbai

602,602A, Dalamal House, 6th Floor,  
Nariman Point, Mumbai - 400 021.  
Ph: 022 - 22842832/22842878/  
22842879/22833863

### Pune

First Floor, Mantri Vertex, Opp. Nirmitti, Law  
College Road, Pune 411 004.  
Ph: 020 - 25450006/25456976

### Trichy

C/o.Sundaram Finance Ltd, "Centre Point",  
2nd Floor, 95, Bharathidasan Salai,  
Cantonment, Trichy 620 001.  
Ph: 0431 - 2414904/2410953

### Vijayawada

D.No. 40-1-129, Chandramoulipuram, Near  
Benz Circle, M.G.Road,  
Vijayawada 520 010.  
Ph: 0866 - 2476018/2472403

### Visakhapatnam

C/o.Sundaram Finance Ltd, 47-10-13,  
Rednam Regency Near Diamond Park,  
Dwarakanagar, Vizakha Patnam 530 016.  
Ph: 0891 - 2754505/2754695

# Application Form

SERIAL No. **FRF**



**SUNDARAM MUTUAL**

BROKER/AGENT NAME & CODE	SUB-BROKER'S NAME & CODE	COLLECTING CENTRE STAMP & SIGN	DATE & TIME OF RECEIPT	REGISTRAR'S SERIAL NO.

**1. Existing Unitholder**

a. Are you making a purchase into an existing folio? (Please ✓)  Yes  No. Folio Number

*(If yes, Please note that investment details and mode of holding will be as per existing folio number. • If you have provided a Folio Number please fill in only Section 2a and then proceed to Section 4.)*

b. Do you wish to receive a consolidated account statement? (Please ✓)  Yes  No.

Which folio number do you wish to consolidate under? Folio Number

*(Only folios where the signatories appear in the same order may be consolidated)*

**2. Unitholder Information (Write in block letters . Leave space between words)**

a. Full Name of First/Sole Applicant / Corporate (as it appears in your bank account)  Salutation  Mr.  Ms.  Dr.  Prof

PAN/GIR No.  CIR/Ward/District  Date of Birth

Full Name of  Father  Husband  Guardian  Contact person in the case of Corporate  Salutation  Mr.  Ms.  Dr.  Prof

Full Name of Second Applicant  Salutation  Mr.  Ms.  Dr.  Prof

PAN/GIR No.  CIR/Ward/District

Full Name of Third Applicant  Salutation  Mr.  Ms.  Dr.  Prof

PAN/GIR No.  CIR/Ward/District

*(As per SEBI regulations, applicants PAN/GIR number must be provided if the purchase amount is Rs.50,000/- and above, failing which the transaction has to be rejected. If you have not already provided this information please do so now. If PAN is not allotted please indicate the same.)*

b. Mode of Holding  Single  Joint  Anyone or Survivor (default option – anyone or survivor)

c. Mailing Address  Home  Office (P.O.Box Address is not sufficient; Overseas address in case of NRIs/IIIs)

Location  PIN

City

State  Country

d. Contact Particulars

Tel: Office  Residence

Fax  E-mail

e. Are you applying as

1.  Resident  NRI

2.  Individual  Partnership  Public Ltd. Co.  On behalf of Minor  HUF

Proprietorship  Trust  Pvt. Ltd. Co.  Society  Others \_\_\_\_\_

f. Do you want a PIN assigned to you? (This PIN will allow you access to your account via the internet)  Yes  No

**sf** | **SUNDARAM MUTUAL** Second Floor, 46 Whites Road,  
Chennai - 600 014  
Ph : (044) 28583362, 28583367, 28585606

Acknowledgement

SERIAL No. **FRF**

Received From Mr./Mrs./Ms. ....

Address .....

ISC's Signature & Stamp

All future communication in connection with the application should be addressed to the Registrar **Computer Age Management Services (P) Ltd.**, 'Rayala Towers' First Floor, 781-785 Anna Salai, Chennai - 600 002. Tel: (044) 28521596, 28520516 quoting full name of Sole/First applicant, Application Serial No., Date, Name of the Bank and Branch or Centre where it was lodged.

Cheques/Drafts are subject to realisation

SERIAL No. **FRF**

**3. Payment of Redemption**

Sundaram Mutual Fund will endeavour to use Warrant/Draft or Direct Credit payment of redemption but retains the right to use any other mode of payment as deemed appropriate. You may select either Direct Credit option for receiving dividends/redemption in your bank account or warrants. Please read Instructions (section 4) below.

- Direct Credit                       Warrant/Draft

Direct Credit of Redemption : If you have an account in any of the following banks you can opt for direct credit of dividend and redemption to your bank account.

I authorise Sundaram Mutual Fund to credit my redemption amount to my account maintained with the following Bank (Please )

- ABN Amro Bank    ICICI Bank    HDFC Bank    Standard Chartered Bank    IDBI Bank

**4. Bank Account Details** *Please note it is mandatory as per SEBI regulations for all investors to provide bank account details*

Please write the application Serial Number on the reverse of the Cheque/Demand Draft. All communication and payments will be made to the first applicant or to the Karta in case of HUF.

Name of your Bank

--	--

Branch

Your Account Number

--

--

Bank Address

--

--

- Account Type                       Current                       Savings                       Cash Credit                       NRE                       NRO

**Please note that cash investments will not be accepted. On the reverse of the Cheque/DD please indicate the name of the investor, scheme and option opted for as well as the application number.**

**5. Investment Opted for**

If you do not indicate an investment option, the default option will be applicable.

**Please issue a separate Cheque/Demand Draft for each investment.**

**SFRF    Sundaram Floating Rate Fund**

Amount	DD Charges*	Net Amount	Chq/DD No.	Date	Bank & Branch

Net Amount In words.....

- Short Term Plan**                       **Long Term Plan**  
 Regular                                       Regular  
 Institutional                                       Institutional

**Investment Option** (please )  
 Growth    Div. Re-investment    Div.Payout

**Dividend Frequency**  
 Daily<sup>‡</sup>    Weekly<sup>‡</sup>    Monthly    Quarterly<sup>#</sup>  
 Half-yearly<sup>§</sup>    Annual<sup>§</sup>

\*Please note DD charges will be adjusted by the fund only for the regular plan. Refer section 5 of instructions.

<sup>‡</sup> Available only for dividend re-investment option in Short Term Plan-Institutional Option.   <sup>#</sup> Available only in Long Term Plan.   <sup>§</sup> Available only in Long Term Plan-Regular Option

**6. Nomination (available only for individuals)**

I/We .....  
and ..... do hereby nominate the person more particularly described hereunder on the ..... day of ..... in respect of the units bearing Folio No./Application .....(\* strike out which is not applicable)

Address:.....

Date of Birth:..... (to be furnished in case the Nominee is a minor)

(\* ) The Nominee is a minor whose guardian is.....

Address of the Guardian.....

**Name and Address of the Nominee**

Name:.....

Signature of the guardian .....

**7. Declaration and Signatures**

The Trustees

Sundaram Mutual Fund

Having read and understood the contents of the Offer Document of the scheme, I/we hereby apply for units of Sundaram Money Fund as indicated in Section 5 above and agree to abide by the terms, conditions, rules and regulations of the scheme. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment.

Applicable to NRIs only:

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account.

Please tick  Yes    No

If yes  Repatriation basis    Non-Repatriation basis

**SIGNATURES**

1st Applicant \_\_\_\_\_

2nd Applicant \_\_\_\_\_

3rd Applicant \_\_\_\_\_

Scheme Name	
Cheque / DD No.	
Date	
Drawn on (Name of Bank and Branch)	
Amount in Rupees	

# Application Form

SERIAL No. **FRF**



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PAN/GIR No.  CIR/Ward/District

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b. Mode of Holding  Single  Joint  Anyone or Survivor (default option – anyone or survivor)

c. Mailing Address  Home  Office (P.O.Box Address is not sufficient; Overseas address in case of NRIs/Flis)

Location  PIN

City

State  Country

d. Contact Particulars

Tel: Office  Residence

Fax  E-mail

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2.  Individual  Partnership  Public Ltd. Co.  On behalf of Minor  HUF

Proprietorship  Trust  Pvt. Ltd. Co.  Society  Others \_\_\_\_\_

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Direct Credit  Warrant/Draft

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Branch

Your Account Number

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Bank Address

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--

Account Type  Current  Savings  Cash Credit  NRE  NRO

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Amount	DD Charges*	Net Amount	Chq/DD No.	Date	Bank & Branch

Net Amount In words.....

Short Term Plan  Long Term Plan  
 Regular  Regular  
 Institutional  Institutional

**Investment Option** (please )  
 Growth  Div. Re-investment  Div.Payout

**Dividend Frequency**  
 Daily<sup>‡</sup>  Weekly<sup>‡</sup>  Monthly  Quarterly<sup>#</sup>  
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Please tick  Yes  No

If yes  Repatriation basis  Non-Repatriation basis

**SIGNATURES**

1st Applicant \_\_\_\_\_

2nd Applicant \_\_\_\_\_

3rd Applicant \_\_\_\_\_

Scheme Name	
Cheque / DD No.	
Date	
Drawn on (Name of Bank and Branch)	
Amount in Rupees	